

## Enhanced Proliferation Control Initiative (“EPCI”) End Use Form

The U.S. Department of Commerce’s Bureau of Industry and Security (“BIS”) imposes requirements on exporters to ensure that items being exported are not destined for an improper end use or undesirable end-user, as defined in BIS regulations. The enclosed checklist is designed to assist us in meeting our legal requirement to prevent the proliferation of weapons of mass destruction and must be completed by the end user and/or Covestro Affiliate on behalf of the customer prior to shipment of the first order. It is our obligation under the Export Administration Regulations to “know our customers” and to ensure compliance with these regulations.

In addition to the regulatory requirements mentioned above and in the checklist below, we are requesting that our customers comply with Covestro’s voluntary commitment not to supply items or provide technical know-how to or in the context of “offensive end-uses” (could be used to cause harm) or if it has any reason to believe that the items may be used for potential human rights violations.

The purpose of this letter is to seek your assistance in complying with U.S. Laws and Covestro’s Voluntary Commitment to Human Rights, that apply to the export and re-export of U.S.-originating goods. Your kind assistance will facilitate a continuous and unrestricted flow of products from Covestro to you.

Thank you – Covestro International Trade & Compliance Team

We acknowledge that the product we are purchasing from Covestro is subject to United States export regulations. We agree in advance to the following restrictions imposed by U.S. law and certify we will not sell, otherwise transfer or re-export any Covestro product or any direct product thereof contrary to U.S. law:

- We will not use Covestro product nor the direct product thereof in any sensitive nuclear end uses, including nuclear explosive activities, unsafeguarded nuclear activities related to any nuclear reactor, critical facility, facility for the fabrication for nuclear fuel, facility for the conversion of nuclear material from one chemical form to another or separate storage installation, where there is no obligation to accept a International Atomic Energy Agency (IAEA) safeguards at the relevant facility or installation when it contains any source or special fissionable material (regardless of whether or not it contains such material at the time of export), or where any such obligation is not met.
- We will not use Covestro product nor the direct product thereof for activities related to chemical or biological weapon design, development, production, testing, stockpiling, installation, operation, maintenance, repair, overhaul, or refurbishing.
- We will not use Covestro product nor the direct product thereof for activities related to missile design, development, production, testing, stockpiling, installation, maintenance, operation, repair, overhaul or refurbishing (the term 'missiles' includes but is not limited to rockets and unmanned air vehicle systems).
- We will not knowingly re-sell, re-export or transfer Covestro product nor the direct product thereof to entities involved in any of the above-mentioned activities.

Please respond to the following questions. **The document will not be accepted unless responses are provided in all sections and for all questions below.**

1) Our specific end-use/application for Covestro product is:

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2) We are affiliated with our country's military or government and/or will be utilizing Covestro product for military and/or nuclear applications.

(Check yes or no) Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, please provide a brief description of the specific relationship with country's military or government and how Covestro product will be used in conjunction with any military and/or nuclear applications:

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3) This EPCI applies to the following companies/locations (Add complete name and address for each facility that is covered by this EPCI):

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4) Please check the description that best describes your organization as it relates to use of Covestro products: For more information please see: [EPCI Ultimate Consignee Types](#)

☐ Direct Consumer (D) ☐ Government Entity (G) ☐ Reseller – (R) ☐ Other/Unknown –(O)

If "**Other/Unknown**" is selected please describe your business: \_\_\_\_\_

*\*Note-This question is not applicable for Canadian partners (mark with an N/A)*

5) Company website (if no website, please provide additional documentation to verify company and location):

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I attest that the preceding information is accurate, complete and truthful.

\_\_\_\_\_  
Name and Title (please type or print) Date

\_\_\_\_\_  
Signature Company / Institution Name

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Complete Address (if different from company address above; no P.O. Boxes)

Telephone # \_\_\_\_\_

Email \_\_\_\_\_

If you have any questions regarding the EPCI, please contact the Covestro Trade and Compliance Team at [intltrade@covestro.com](mailto:intltrade@covestro.com)